

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Paul Turner, John P. Guiver, Brian Lines and S. Steven Treiber

Application No.: 09/892,586 Group: 2123

Filed: June 27, 2001 Examiner: Ayal I. Sharon

Confirmation No.: 2279

For: COMPUTER METHOD AND APPARATUS FOR CONSTRAINING A
NON-LINEAR APPROXIMATOR OF AN EMPIRICAL PROCESS

CERTIFICATE OF MAILING OR TRANSMISSION	
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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P.O. Box 1450
Alexandria, VA 22313-1450

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This Supplemental Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.97(b), or
(Within any one of the following time periods: three months of filing national application (other than a CPA) or date of entry of the national stage in an international application; or before the mailing date of a first office action on the merits in a non-provisional application, including a CPA, or a Request for Continued Examination).
- ☒ under 37 CFR 1.97(c) together with either:
☐ a Statement under 37 CFR 1.97(e), as checked below, or
☒ a \$180.00 fee under 37 CFR 1.17(p), or
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with:
☐ a Statement under 37 CFR 1.97(e), as checked below, and
☐ a \$180.00 fee under 37 CFR 1.17(p), or
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- ☐ under 37 CFR 1.97(i):
Applicant requests that the IDS and cited reference(s) be placed in the application file.
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Statement Under 37 CFR 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Statement Under 37 CFR 1.704(d) (Patent Term Adjustment)

Applies to original applications (other than design) filed on or after May 29, 2000

- ☐ Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.
- ☒ Enclosed herewith is a Listing of References:
 - ☐ Copies of the cited references are enclosed.
 - ☒ Copies of issued U.S. patents and published U.S. applications are not required and are not being provided.
 - ☐ Copies of the cited references are enclosed except those entered in prior application, U.S. Application No. [], to which priority under 35 U.S.C. 120 is claimed. [OPTIONAL [The earlier application contains copies of the cited references.]]
 - ☐ The listed references were cited in the enclosed International Search Report in a counterpart foreign application.
 - ☐ The "concise explanation" requirement (non-English references) for reference(s) [] under 37 CFR 1.98(a)(3) is satisfied by:
 - ☐ the explanation provided on the attached sheet.
 - ☐ the explanation provided in the Specification.
 - ☐ submission of the enclosed International Search Report.
 - ☐ submission of the enclosed English-language version of a foreign Search Report and/or foreign Office Action.
 - ☐ the enclosed English language abstract.

- ☐ Applicant requests that the following non-published pending applications be considered:
(Affix a label or apply the stamp "Non-Published IDS Reference - Do Not Scan" to the front of each unpublished pending appl'n.)

Examiner's
Initials

____ U.S. Patent Application No. [], by [inventor(s)], filed [], Docket No.: []
____ U.S. Patent Application No. [], by [inventor(s)], filed [], Docket No.: []
____ U.S. Patent Application No. [], by [inventor(s)], filed [], Docket No.: []

Examiner

Date

- ☐ A copy of each above-cited application, including the current claims, is enclosed, except any application filed on or after June 30, 2003, which has been scanned into the PTO's Image File Wrapper (IFW) system and is available to the examiner.
- ☐ A copy of each above-cited application, including the current claims, is enclosed, except those entered in prior application, U.S. Application No. [], to which priority under 35 U.S.C. 120 is claimed.

The Examiner is requested to return a copy of the above list of pending applications indicating which references were considered with the next office communication.

It is requested that the information disclosed herein be made of record in this application.

Method of payment:

- ☒ A check for the fee noted above is enclosed, or the fee has been included in the check with the accompanying Reply. A copy of this Statement is enclosed.
- ☐ Please charge Deposit Account 08-0380 in the amount of \$[]. A copy of this Statement is enclosed.
- ☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account 08-0380.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Jean-Paul Cass

Jean-Paul Cass

Registration No. 46,605

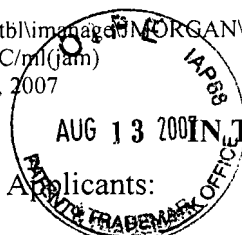
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Concord, MA 01742-9133

Dated: 8/9/2007

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Jean-Paul Cass

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Concord, MA 01742-9133

Dated: 8/9/2007

Substitute for form 1449B/PTO

**SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT
IN AN APPLICATION**

LISTING OF REFERENCES

August 9, 2007

(Use several sheets if necessary)

ATTORNEY DOCKET NO.

1086.2002-001

APPLICATION NO.

09/892,586

FIRST NAMED INVENTOR

Paul Turner

FILING DATE

June 27, 2001

EXAMINER

Ayal I. Sharon

CONFIRMATION NO.

2279

GROUP

2123

U.S. PATENT DOCUMENTS

EXAM- INER INI- TIAL	REF. NO.	DOCUMENT NUMBER Number-Kind Code (if known)	ISSUE DATE / PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT
	AG2	5,311,421	05-10-1994	Nomura, <i>et al.</i>
	AH2	5,519,605	05-21-1996	Cawlfeld
	AI2	5,682,309	10-28-1997	Bartusiak, <i>et al.</i>
	AJ2	5,704,011	12-30-1997	Hansen, <i>et al.</i>
	AK2	5,809,490	09-15-1998	Guiver, <i>et al.</i>
	AA3	6,056,781	05-02-2000	Wassick, <i>et al.</i>
	AB3	6,106,785	08-22-2000	Havlena, <i>et al.</i>
	AC3	6,263,355 B1	07-17-2001	Harrell, <i>et al.</i>
	AD3	6,373,033 B1	04-16-2002	de Waard, <i>et al.</i>
	AE3	6,440,374 B1	08-27-2002	Jelinek, <i>et al.</i>
	AF3	6,493,596 B1	12-10-2002	Martin, <i>et al.</i>
	AG3	6,594,620 B1	07-15-2003	Qin, <i>et al.</i>
	AH3	6,816,822 B1	11-9-2004	Hess, <i>et al.</i>
	AI3	6,826,521 B1	11-30-2004	Hess, <i>et al.</i>
	AJ3	6,839,599 B2	01-04-2005	Martin, <i>et al.</i>
	AK3	6,862,562 B1	03-01-2005	Treiber, <i>et al.</i>
	AA4	7,065,511 B2	06-20-2006	Zhao, <i>et al.</i>
	AB4	7,149,590 B2	12-12-2006	Martin, <i>et al.</i>

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DATE CONSIDERED